

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
 Date: 10-25-06 Name: Richard G. Lione, Reg. No. 19,795 Signature: Richard G. Lione

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appl. of: Tomomichi Hasgimoto et al.

App. No.: 10/551,944

Filed: October 4, 2005

For: POLYORGANOSILOXANE-CONTAINING
 GRAFT COPOLYMER, RESIN
 COMPOSITIONS CONTAINING THE
 SAME AND PROCESS FOR
 PRODUCTION OF
 POLYORGANOSILOXANE EMULSIONS

Attorney Docket No: 5404/121

BRINKS
 HOFER
 GILSON
 & LIONE

Examiner: Not Assigned

Art Unit: Not Assigned

Mail Stop Amendment
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

☒ Transmittal (in Duplicate); Supplemental Information Disclosure Statement; Form PTO-1449; and a Copy of Each Listed Reference for Which a Copy is Required.

☒ Return Receipt Postcard

Fee calculation:

☒ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).

☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity	
					Rate	Add'l Fee	or Rate	Add'l Fee
Total		Minus			x \$25=		x \$50=	
Indep.		Minus			x 100=		x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=		+ \$360=	
Total					\$		Total	\$

Fee payment:

☐ A check in the amount of \$_____ is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Richard G. Lione
 Richard G. Lione (Reg. No. 19,795)

Date: 10-23-06



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Appln. of: Tomomichi Hasegimoto et al.
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COMPOSITIONS CONTAINING
THE SAME AND PROCESS FOR
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POLYORGANOSILOXANE
EMULSIONS

Examiner: N/A

Art Unit: N/A

Attorney Docket No: 5404/121

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
JP 61-106614 A	05/24/1986	Japan
JP 61-235462 A	10/20/1986	Japan
EP 0 367 219 A2	05/09/1990	EPO
EP 0 457 616 A2	11/21/1991	EPO
JP 8-12846 A	01/16/1996	Japan
EP 1 338 625 A1	08/27/2003	EPO
EP 1 475 396 A1	11/10/2004	EPO
EP 1 500 682 A1	01/26/2005	EPO
JP 2003-89749 A	03/28/2003	Japan
EP 1 505 123 A1	02/09/2005	EPO


Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

10-23-06
Date


Richard G. Lione (Reg. No. 19,795)



FORM PTO-1449	SERIAL NO. 10/551,944	CASE NO. 5404/121
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 4, 2005	GROUP ART UNIT N/A
(use several sheets if necessary)	APPLICANT(S): Tomomichi Hasgimoto et al.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B1 JP 61-106614 A	05/24/1986	Japan		Abstract
	B2 JP 61-235462 A	10/20/1986	Japan		Abstract
	B3 EP 0 367 219 A2	05/09/1990	EPO		
	B4 EP 0 457 616 A2	11/21/1991	EPO		
	B5 JP 8-12846 A	01/16/1996	Japan		Abstract
	B6 EP 1 338 625 A1	08/27/2003	EPO		
	B7 EP 1 475 396 A1	11/10/2004	EPO		
	B8 EP 1 500 682 A1	01/26/2005	EPO		
	B9 JP 2003-89749 A	03/28/2003	Japan		Abstract
	B10 EP 1 505 123 A1	02/09/2005	EPO		

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER /Margaret Moore/	DATE CONSIDERED 01/22/2010
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.